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Temporary No-action Relief for Registrants Affected by the COVID-19 Outbreak

Tuesday, March 24, 2020

Given the disruptions caused by the COVID-19 outbreak and dislocations affecting broker-dealers, state registered investment advisers, federal covered investment advisers, and their registered agents or representatives (collectively, “financial professionals”), the Washington State Department of Financial Institutions, Securities Division (DFI) will take no action to enforce the registration and other provisions of the Securities Act as described in this announcement.

[See: Announcement from DFI Securities Division](#)

(<http://www.dfi.wa.gov/web/20201024072720/https://dfi.wa.gov/sites/default/files/securities-no-action-relief.pdf>)